

# Adviser Service Fee

PremiumChoice Investment Service  
PremiumChoice Retirement Service



We respect your privacy and handle your information in accordance with our Privacy Policy, available at [investinfo.com.au/premiumchoice](http://investinfo.com.au/premiumchoice)

Use this form when you have an arrangement to pay your financial adviser an Adviser Service Fee, and want this fee deducted from your account. By completing this form, you are consenting to the deduction of this fee by the account provider (the Trustee or NAL whichever is applicable). References to 'we' or 'us' are references to the account provider, unless otherwise stated. You can also use this form to cancel an existing consent. We can only accept your request if the form is correctly completed.

## 1. Your account details

Account name 1

Account name 2 (if applicable)

Date of birth (DD/MM/YYYY) (if applicable)

Contact telephone (business hours)

Email

This Adviser Service Fee instruction will be applicable to:

a new account – I have attached the relevant transfer form for my new account, or

an existing account(s) – please complete details here:

Account number 1

Account number 2

Account number 3

Where multiple accounts are selected above, when completing this form:

- Any selections made will apply, in full, to all accounts nominated above unless otherwise stated.
- The term "account" refers to all accounts nominated above unless otherwise stated.

## 2. Your changes

Please select from the following options below, then complete **Section 7**, followed by **Section 8** to consent to the deduction. You cannot have an Adviser Service Fee – Fixed Term, and an Adviser Service Fee – Ongoing on your account at the same time.

**Adviser Service Fee – One Off**

**Complete Question 3**

**Adviser Service Fee – Fixed Term (up to 12 months)**

**Complete Question 4**

**Adviser Service Fee – Ongoing (more than 12 months)**

**Complete Question 5**

**Cancel all Adviser Service Fees**

**Complete Question 6**

Please note, if Question 4 or 5 are completed, you'll need to ensure that any existing Adviser Service Fees that you wish to retain on your account are re-stated. Any fees not set out in Question 4 or 5 will be cancelled on the later of the date this form is processed and the Start Date (if any) specified in Questions 4 or 5.

Are you also changing your financial adviser?

No

Yes  You'll also need to complete the **Change of financial adviser** form available at [investinfo.com.au/premiumchoice](http://investinfo.com.au/premiumchoice)

NULIS Nominees (Australia) Limited  
(the Trustee)  
ABN 80 008 515 633  
AFSL 236465

PremiumChoice Retirement  
Service  
(the Fund)  
ABN 70 479 285 132

Navigator Australia Limited (NAL)  
ABN 45 006 302 987  
AFSL 236466

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### 3. Adviser Service Fee – One Off\*

Please write the payment amount in the box below. This ad hoc service fee will be deducted from your Cash Account.

Amount (per account)

\*The amount payable is inclusive of GST where applicable.

If you have no other changes, please go to **Section 7 Adviser Service Fee Consent**.

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### 4. Adviser Service Fee – Fixed Term\*

#### 4.1 Select Fixed Term

Fixed term arrangements cover a maximum fee period of 12 months. Tell us the details of the fee period by selecting **either** Option 1 or Option 2.

##### **OPTION 1**

Choose this option if you want to specify a Start date and/or an End date for the fee period. The Start date specified can be up to 90 days after you sign this form. The End date can be up to 12 months after the Start date.

If a Start date isn't specified, the fee period will start from the date the form is processed.

**Start date:** [DD/MM/YYYY]

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**End date:** [DD/MM/YYYY]

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OR

##### **OPTION 2**

Choose this option if you want to select the **Maximum term of 12 months starting on the date this form is processed**.

**Maximum term** (12 months)

#### 4.2 Select fees for Adviser Service Fee – Fixed Term

Please tick the box next to the fee you want to apply during the fixed term and fill in the requested details. This fee will generally be deducted on a monthly basis in arrears from your Cash Account.

Once the fixed term starts, the specified fees will apply and will replace any fees we are currently deducting from your account.

You can select from the following fees:

- i. Dollar based Adviser Service Fee – Fixed Term
- ii. Percentage based Adviser Service Fee – Fixed Term: select from three percentage based options

You can set up an Adviser Service Support Fee by selecting any of the above, combined with the following fee:

- iii. Dealer Facilitation Fee – Fixed Term

**Note:** Any Dollar based fees selected, will need to be stated as an **annualised amount**. Where the Fixed Term is less than 12 months, an estimate of the total fee to be deducted for the term will also need to be provided.

##### **i. Dollar based Adviser Service Fee – Fixed Term**

Dollar based fee (per account)  pa

Estimate of the total fee to be deducted (if term is less than 12 months):

Account 1	Account 2	Account 3
<input type="text" value="\$"/>	<input type="text" value="\$"/>	<input type="text" value="\$"/>

AND/OR

##### **ii. Percentage based Adviser Service Fee – Fixed Term**

Choose **one** of the following three percentage based Adviser Service Fee options:

Percentage based fee (per account)  % pa

Estimate of the total fee to be deducted:

Account 1	Account 2	Account 3
<input type="text" value="\$"/>	<input type="text" value="\$"/>	<input type="text" value="\$"/>

## 4. Adviser Service Fee – Fixed Term\* continued

OR

Tiered percentage based fee (per account)

- The percentage based fee for each tier is applied to the account balance within the tier.
- The total percentage based fee is calculated by adding the fee for each tier.
- Each subsequent tier percentage must be less than the previous tier percentage.

	Balance from	Balance to	Fee
Tier 1	\$ Nil	\$	% pa
Tier 2	\$	\$	% pa
Tier 3	\$	\$	% pa
Tier 4	\$	\$	% pa
Tier 5	\$	and above	% pa

Estimate of the Tiered percentage based fee to be deducted:	Account 1 \$	Account 2 \$	Account 3 \$
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OR

Investment percentage based fee (per account)

Cash Account / Term deposits	% pa	Listed investments	% pa
Managed investments	% pa	SMA Model Portfolios	% pa

Estimate of the Investment percentage based fee to be deducted:	Account 1 \$	Account 2 \$	Account 3 \$
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AND/OR

### iii. Dealer facilitation fee – Fixed Term

If a dollar and/or percentage based Adviser Service Fee – Fixed Term has been selected above, you can also select to have a Dealer Facilitation Fee – Fixed Term applied:

Dealer Facilitation Fee – Fixed Term

Dollar based fee (per account) \$ pa

Estimate of the total fee to be deducted (if term is less than 12 months):	Account 1 \$	Account 2 \$	Account 3 \$
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OR

Percentage based fee (per account) % pa

Estimate of the total fee to be deducted:	Account 1 \$	Account 2 \$	Account 3 \$
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**Note:** The Adviser Service Fee(s) – Fixed Term together with the Dealer Facilitation Fee – Fixed Term form the Adviser Service Support Fee. The total Dealer Facilitation Fee – Fixed Term payable per year must be less than the total of the Adviser Service Fee(s) – Fixed Term payable per year (as selected above), plus an amount equal to 0.5% of your account balance. If you cancel the Adviser Service Fee(s) – Fixed Term the Dealer Facilitation Fee – Fixed Term will also be cancelled.

**For financial adviser completion:** Please explain the method used to calculate the estimated fee(s) specified in Question 4.

\* The amount payable is inclusive of GST where applicable.

If you have no other changes, please go to **Section 7 Adviser Service Fee Consent**.

## 5. Adviser Service Fee – Ongoing\*

Adviser Service Fee – Ongoing is an advice fee which can be paid for a period of more than 12 months. You can use this section of the form to consent to an Adviser Service Fee in accordance with a new ongoing arrangement or replace an existing arrangement.

For accounts specified in Question 1 which are Investment products, please go to Question 5.1 below, otherwise for Super or Pension products go directly to Question 5.2.

### 5.1 Wholesale client status (applies to Investment accounts only)

**For financial adviser completion:** Only complete this question if the account specified in Question 1 is an Investment product.

Are the Adviser Service Fees selected and completed below for personal advice to a **wholesale client** in an Investment account?

Yes  I can demonstrate my client is a wholesale client, and will provide sufficient information to the account provider on request to substantiate this, and I will notify the account provider if my client ceases to be a wholesale client. Please ensure Question 5.3–5.4 is completed (**wholesale clients only**).

No  Please ensure the next Question 5.2–5.4 is completed (**all other clients**).

- A client is generally a retail client if you provide them with advice about a superannuation product or retirement savings account.
- The annual consent renewal process as outlined in Section 7.2 of the Adviser Service Fee Consent does not apply to arrangements where advice is provided to a wholesale client.

If a selection is not made it will be taken that the selected Adviser Service Fee is not for a wholesale client and Question 5.2–5.4 must be completed.

### 5.2 Select the Next Anniversary Date

This question is not relevant to Investment accounts where your financial adviser has selected ‘Yes’ in 5.1 above.

Tell us the details of your Next Anniversary Date by selecting **either** Option 1 or Option 2 below.

The Next Anniversary Date triggers the annual consent renewal process.

If no selection is made, we cannot process the request to add or replace an Adviser Service Fee – Ongoing.

#### OPTION 1

Specify the **Next Anniversary Date**: [DD/MM/YYYY]

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The specified date cannot be more than 12 months from the date you sign this form.

If a Fee Disclosure Statement was given to you during the transition period (1 July 2021 to 30 June 2022) for an arrangement made before 1 July 2021, please specify the date 12 months after the Fee Disclosure Statement was given to you.

#### OPTION 2

Elect that the **Next Anniversary Date** will be **12 months from the date that this form is processed**.

Use this option if you have agreed with your financial adviser that your arrangement will take effect once this form is processed.

### 5.3 Select the Fee Start Date (optional)

Choose this option if you want to specify a Start date for fee deductions. The Start date specified can be up to 90 days after you sign this form.

If a Start date isn't specified, then fees will commence being deducted from the date the form is processed.

**Start date:** [DD/MM/YYYY]

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Please complete the ongoing fees agreed under the arrangement in the Question 5.4.

## 5. Adviser Service Fee – Ongoing\* continued

### 5.4 Select fees for Adviser Service Fee – Ongoing

The fees selected, will generally be deducted on a monthly basis in arrears from your Cash Account.

You can select from the following fees:

- i. Dollar based Adviser Service Fee – Ongoing
- ii. Percentage based Adviser Service Fee – Ongoing: select from three percentage based options

You can set up an Adviser Service Support Fee by selecting one of the above, combined with the following fee:

- iii. Dealer Facilitation Fee – Ongoing

#### i. Dollar based Adviser Service Fee – Ongoing

Dollar based fee (per account) \$  pa

Increase my dollar based fee by  % pa OR  CPI each year

**AND/OR**

#### ii. Percentage based Adviser Service Fee – Ongoing

Choose **one** of the following three percentage based Adviser Service Fee options:

Percentage based fee (per account)  % pa

Estimate of the Percentage based fee to be deducted:	Account 1	Account 2	Account 3
	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

**OR**

Tiered percentage based fee (per account)

- The percentage based fee for each tier is applied to the account balance within the tier.
- The total percentage based fee is then calculated by adding the fee for each tier.
- Each subsequent tier percentage must be less than the previous tier percentage.

	Balance from	Balance to	Fee
Tier 1	\$ <input type="text"/> Nil	\$ <input type="text"/>	<input type="text"/> % pa
Tier 2	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/> % pa
Tier 3	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/> % pa
Tier 4	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/> % pa
Tier 5	\$ <input type="text"/>	and above	<input type="text"/> % pa

Estimate of the Tiered percentage based fee to be deducted:	Account 1	Account 2	Account 3
	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

**OR**

Investment percentage based fee (per account)

Cash Account / Term deposits	<input type="text"/> % pa	Listed investments	<input type="text"/> % pa
Managed investments	<input type="text"/> % pa	SMA Model Portfolios	<input type="text"/> % pa

Estimate of the Investment percentage based fee to be deducted:	Account 1	Account 2	Account 3
	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

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## 5. Adviser Service Fee – Ongoing\* continued

### AND/OR

#### iii. Dealer facilitation fee – Ongoing

If a dollar or percentage based Adviser Service Fee – Ongoing has been selected above, you can also select to have a Dealer Facilitation Fee – Ongoing applied:

Dealer Facilitation Fee

Dollar based fee (per account)

\$  pa

### OR

Percentage based fee (per account)

% pa

Estimate of the total fee to be deducted:

Account 1

Account 2

Account 3

\$

\$

\$

**Note:** The Adviser Service Fee(s) – Ongoing together with the Dealer Facilitation Fee – Ongoing form the Adviser Service Support Fee. The total Dealer Facilitation Fee – Ongoing payable per year must be less than the total Adviser Service Fee(s) – Ongoing payable per year (as selected above), plus an amount equal to 0.5% of your account balance. If you cancel the Adviser Service Fee – Ongoing the Dealer Facilitation Fee – Ongoing will also be cancelled.

**For financial adviser completion:** Please explain the method used to calculate the estimated fee(s) specified in Question 5.

\*The amount payable is inclusive of GST where applicable.

If you have no other changes, please go to **Section 7 Adviser Service Fee Consent**.

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## 6. Cancel all Adviser Service Fees

Please select the below option if you would like to cancel all Adviser Service fees (Ongoing or Fixed Term) on the account specified in Question 1. If you select this option, we will cancel any current arrangements you have with us to deduct adviser service fees from the account specified in Question 1 of this form.

Cancel all Adviser Service Fees (Ongoing or Fixed Term) on the account specified in Question 1.

Please go to **Section 8 Your agreement and authorisation**.

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## 7. Adviser Service Fee Consent

### 7.1 Adviser Service Fee Consent – One-off and Fixed Term

The account provider needs to obtain your consent to Adviser Service Fee deductions selected in Question 3 (One-Off) and Question 4 (Fixed Term) of this form.

**For financial adviser completion:** What services will you provide for the deduction of Adviser Service Fee(s) (the One-Off and/or Fixed Term) from the account?

For **Super and/or Pension account(s):**

- Review of your account
- Strategic superannuation advice
- Investment advice on your account
- Contribution strategy
- Insurance in superannuation strategy
- Withdrawal advice

For **Investment account(s):**

- Review of your account
- Strategic advice
- Investment advice on your account
- Contribution strategy
- Insurance strategy
- Withdrawal advice

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## 7. Adviser Service Fee Consent continued

By signing Section 8 you consent to the account provider charging and deducting the fee(s) specified in Question 3 and/or Question 4 for financial product advice (as applicable), and you agree and acknowledge that:

- your consent will be taken to have been given on the date that this form is processed;
- the name of the member/investor who holds the account from which the fees will be deducted will be the name specified in Question 1 of this form;
- the name and contact details of your financial adviser who will provide the financial product advice you will receive is set out in Section 9 of this form;
- the account provider is seeking your consent to deduct the Adviser Service Fee(s) from your PremiumChoice account listed in Question 1;
- where you have selected an Adviser Service Fee – Fixed Term, you authorise us to deduct from your account all Adviser Service Fee(s) selected in Question 4 that are payable to your adviser during the Fixed Term selected (unless you withdraw your consent earlier);
- since we generally deduct Adviser Service Fees monthly in arrears, the final amount payable under a Fixed Term may be deducted after expiry of the Fixed Term;
- the consent you give in this form will expire at the earliest of:
  - the day you withdraw your consent to the fee;
  - the day the last Adviser Service Fee authorised under this form is deducted;
- information about the services that you are entitled to receive for the fee(s) you are paying is set out above;
- the cost of the advice services will be passed on to you by way of a deduction of the Adviser Service Fee(s) from your account;
- the amount of the Adviser Service Fee(s) to be deducted will depend on the type of Adviser Service Fee you have agreed to (see Question 3 and Question 4);
- where an estimate of an amount of a fee has been provided in Question 4, an explanation of the method used to work out the estimate is also provided;
- you can withdraw your consent to the payment of the applicable Adviser Service Fee(s) at any time before the fee is deducted by contacting the account provider using the contact details set out in Section 10 of this form.

### 7.2 Adviser Service Fee Consent – Ongoing Fee Arrangements

**Your financial adviser needs to obtain your consent to arrange deduction of an Adviser Service Fee selected in Question 5 of this form.**

By signing Section 8 you consent to your financial adviser arranging with the account provider to charge and deduct the Adviser Service Fee specified in Question 5, and you agree and acknowledge that:

- your consent will be taken to have been given on the date that this form is processed;
- the name of the member/investor who holds the account from which the Adviser Service Fee will be deducted will be the name specified in Question 1 of this form;
- the name and contact details of your financial adviser who will receive the ongoing fee are set out in Section 9 of this form;
- your financial adviser is seeking your consent so that they can arrange to deduct the Adviser Service Fee from your account;
- the frequency and amount of each Adviser Service Fee you will pay during the upcoming year will depend on the type of Adviser Service Fee you have agreed to (see Question 5);
- where a percentage-based fee has been agreed in Question 5 – an estimate of the fee(s) for the upcoming year and an explanation of the method used to work out the estimate is also provided;
- the account from which you will pay the Adviser Service Fee is the account listed in Question 1;
- the consent you give in this form will expire at the end of the earlier of:
  - the period of 150 days after the Next Anniversary Date (see Question 5);
  - the day you terminate your ongoing fee arrangement; and
  - the day you give your financial adviser a new consent in relation to the ongoing fee arrangement;
- the fees stated in this form are in accordance with the ongoing fee arrangement that you have in place with your financial adviser;
- you can withdraw your consent or terminate or vary the ongoing fee arrangement at any time by notice in writing to your financial adviser. You or your financial adviser can also cancel an existing ongoing fee arrangement at any time by contacting the account provider using the contact details set out in Section 10 of this form.

## 8. Your agreement and authorisation

If I have completed Section 6 Cancel all Adviser Service Fees, I agree and authorise the account provider to cancel all Adviser Service Fees on the account selected in Question 1 with effect from the date this form is processed. If I have completed Question 3, Question 4 or Question 5, I authorise and consent to the deduction of the Adviser Service Fee on the terms set out in this form and understand that:

- an Adviser Service Fee in relation to a superannuation or pension investment can only relate to advice and service in respect of that investment
- where an Adviser Service Fee has been selected, consent has been given for this fee to be shared with other parties as outlined by my financial adviser
- where a Start date is specified under Question 4 as the future Start date of a Fixed Term, or an ongoing fee arrangement under Question 5, this can have an impact on fee deductions as follows:
  - if the Start date specified is **after** the date the form is processed, fee deductions for that arrangement will not commence until that date, and any existing fee deduction arrangements (where applicable) will continue until the Start Date.
  - if no Start date is specified, or the Start date specified is **before** the date the form is processed, the account provider will begin deducting the Adviser Service Fees selected in this form on the date the form is processed (and not before).
- a one-off Adviser Service Fee will be deducted as a single amount from my account.

**If signed under Power of Attorney:** Attorneys must attach a certified copy of the Power of Attorney if not already supplied. The Attorney hereby certifies that he/she has not received notice of any limitation or revocation of his/her Power of Attorney and is also authorised to sign this form.

Power of Attorney documents can't be accepted by fax or email.

### Signature of Investor 1 or Attorney

Full name (please print)

X	Date (DD/MM/YY)					

### Signature of Investor 2 (if applicable) or Attorney

Full name (please print)

X	Date (DD/MM/YY)					

## 9. Financial adviser details

You must obtain and document the client's clear consent where the Adviser Service Fee is received by your Licensee and subsequently paid to you.

Name of financial adviser

Name of firm (licensee)

Adviser number

Contact telephone (business hours)

Fax number

Email

Signature of financial adviser

X	Date (DD/MM/YY)					

FINANCIAL  
ADVISER'S  
STAMP

## 10. Send us your form

Please mail, email or fax your completed, signed and dated form to:

**PremiumChoice Client Services**

**GPO Box 1610**

**MELBOURNE VIC 3001**

**Email: [premiumchoice@investinfo.com.au](mailto:premiumchoice@investinfo.com.au)**

**Fax number: (03) 9869 1595**

If you have any questions, please speak with your financial adviser, call us on **1300 880 054**

Monday to Friday between 8am and 6pm (AEST/AEDT) or visit **[investinfo.com.au/premiumchoice](http://investinfo.com.au/premiumchoice)**