

# Transfer request



Please complete these instructions in **BLACK INK** using **BLOCK** letters.

Please complete this form when you want to:

- Transfer investment(s) held in one or more PremiumChoice Investment Service account ('source account/s') to one or more PremiumChoice Investment Service accounts ('target account/s')
- Consolidate PremiumChoice account/s

The PremiumChoice Investment Service is operated by Navigator Australia Limited ABN 45 006 302 987 AFSL 235466.

## Mailing details

Please mark: 'Private & Confidential'  
and send to:

MLC Navigator  
In specie Transfers  
GPO Box 2567  
Melbourne VIC 3001

## Please note:

- original forms are required, copies cannot be accepted

## Important information

- The transfer cannot be completed until all documentation is received and correct
- The transfer cannot proceed while active transactions exist on the source account
- While the transfer is being processed no transactions can occur on the source account
- To enable us to process a request to transfer investments into an account in the **Core Investment List**, 100% of the investments selected for transfer must be on the Core Investment List.

## 1. Type of transfer

Please specify if there is a change of beneficial ownership.

- Change of beneficial ownership
- No change of beneficial ownership

**Note:** Where there is a change of beneficial ownership:

A change of beneficial ownership may trigger a Capital Gains Tax (CGT) event in the source account.  
CGT may become payable.

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## 2. Tax optimisation upon transfer of investments

### (only applicable if change of beneficial ownership)

Please select the desired tax optimisation method for investment parcel transfer from the source account.

Highest Cost First Out (HCFO)

First In First Out (FIFO)

**Note:** Once the transfer is complete, the default tax optimisation method chosen for the target account remains in place if different to that chosen above for the source account.

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## 3. Details of transfer

Please specify what transfer scenario this is:

### A. Individual investor account consolidation

Partial consolidation (selected investments consolidated into one account)  
**Proceed to Section 6**

Full consolidation (all accounts consolidated into one account)  
**Proceed to Section 7**

B.  Individual account/s (partial or full transfer)  
**Proceed to Section 4**

C.  Individual account/s to Joint account (full transfer)  
**Proceed to Section 5**

D.  Individual account accepts another individual account  
**Proceed to Section 7**

E.  Other  
**Please provide details:**


**Proceed to Section 4**

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## 4. Full or partial transfer

Full transfer – all investments in source account(s)

Partial transfer – selection of investments in source account(s)

## 5. Transfer – target account

Is this consolidation/transfer to a new account?

No  **Before completing and signing this form:**

- complete Section 6 (only if partial transfer selected in Section 4 above)
- complete Section 7 and 8

Yes  **Before completing and signing this form:**

- complete Section 6 (only if partial transfer selected in Section 4 above)
- complete Section 7
- a new application form for the target account/s, contained in the PremiumChoice Investment Service Investor Directed Portfolio Service Guide will need to be completed and accompany this form.

## 6. Consolidation/transfer details for partial transfers

### Investments to consolidate/transfer

Source one account number

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### Proportion

Please specify only one type for each fund/share

Investment fund / Share name	APIR/ASX Code	No of units	or	Amount \$	or	%

Source account two (if applicable)  
 (You need to complete the table below)

Source two account number

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**Proportion**

Please specify only one type for each fund/share

Investment fund / Share name	APIR/ASX Code	No of units	or	Amount \$	or %

Target account one

Target one account number

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Target account two (if applicable)

Target two account number

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Please list the specific investments to be transferred to this account

Investment fund / Share name	APIR/ASX Code

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## 7. Transferor details (source account/s)

### Source account one

Full name of Investor one

Full name of Investor two (if applicable)

Service name

Account name

Account number

Investor address

Unit number

Street number

Street name

Suburb

State

Postcode

Country

Investor telephone number

### Source account two

Full name of Investor one

Full name of Investor two (if applicable)

Service name

Account name

Account number

Investor address

Unit number

Street number

Street name

Suburb

State

Postcode

Country

Investor telephone number

## 8. Transferee details (target account/s)

### Existing account(s)

#### Target account one

Full name of Investor one

Full name of Investor two (if applicable)

Service name

Account name

Account number

Investor address

Unit number

Street number

Street name

Suburb

State

Postcode

Country

Investor telephone number

#### Target account two

Full name of Investor one

Full name of Investor two (if applicable)

Service name

Account name

Account number

Investor address

Unit number

Street number

Street name

Suburb

State

Postcode

Country

Investor telephone number

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## 9. Investment suitability

To assess which investment options are right for you, please refer to the relevant disclosure documents for each investment option selected for investment objectives and timeframes, and risk measures. Please respond to the following questions for all investment products you've selected, excluding Shares:

The investments I've selected have a risk measure that I'm prepared to accept

Yes  No

I'm aware of the minimum suggested investment timeframe

Yes  No

There may be a delay processing your application if responses aren't provided, while we contact you for more information. If we don't receive a response we'll proceed with your application with the understanding that your responses are 'Yes'.

## 10. Transferor/Transferee Declaration

- (a) Where the transfer is to a new account, I/we have read and understood the current Investor Directed Portfolio Service Guide ('IDPS Guide') for the PremiumChoice Investment Service to which this new application relates, and the Applicant Declarations in the PremiumChoice Investment Service IDPS Guide and Financial Services Guide.
- (b) I/we release and indemnify Navigator Australia Limited ABN 45 006 302 987 AFSL 236466 (the 'Operator') from and against any costs, losses, liabilities or other expenses as a result of:
  - (i) The Operator acting upon any information and/or instructions contained in or attached to this form; and
  - (ii) The Operator processing the consolidation or transfer of the assets specified in Section 6 or contained in the account/s specified in Section 7.

### Consolidation

- (a) I/We are the registered holder(s) and undersigned Transferor of the consideration in Section 6 (partial transfer) or contained in the account specified in Section 7 (full account transfer), and hereby transfer to the Transferee(s) named in Section 8 the investments specified in Section 6 or in the account/s specified in Section 7.

### Transfer (partial or full)

- (a) I/We are the registered holder(s) and undersigned Transferor of the consideration in Section 6 (partial transfer) or Section 7 (full account transfer) and hereby transfer to the Transferee(s) named in Section 8 the investments specified in Section 6 or in the account/s specified in Section 7.

### Fees & Account Facilities

- (a) If the transfer is to an existing target account, I/we confirm that the fee structure applied on the target account will be retained on completion of the transfer.
- (b) If the transfer is to a target account that will be a new account, I/we confirm that the fee structure agreed on the target account is specified in the new business application form for the target account.
- (c) Unless otherwise stated, all account facilities on the target account will be retained on completion of the transfer.
- (d) If a margin loan exists for the source account, I/we confirm that approval has been received from the margin lender and is provided with this completed form.
- (e) If the Core Investment List has been selected on your target account, but an option outside the Core Investment List is held, I/we accept that we will pay the administration fees applicable to the full Investment List.

### No change in beneficial ownership declaration

In signing, I/we acknowledge that in relation to the transfer of the investment funds and/or shares listed in Section 6 or contained in the account/s specified in Section 7 of this form:

- (a) I/we, the registered holder(s) and undersigned Transferor(s) of the listed consideration, hereby transfer to the named Transferee in Section 8, the investment funds and/or shares as specified in Section 6.
- (b) The transfer of the investments specified in Section 6 or contained in the account/s specified in Section 7 will not result in a change of beneficial ownership and the units or shares transferred will be held by the Operator on behalf of the same beneficial owner.
- (c) The transfer of the investments specified in Section 6 or contained in the account/s specified in Section 7 are not part of a scheme under which beneficial ownership may or will pass.
- (d) Any transaction/parcel history previously provided for investment fund/s and/or shares are accurate.

### Change in beneficial ownership declaration

In signing, I/we acknowledge that in relation to the transfer of the investment funds and/or shares listed in Section 6 or contained in the account/s specified in Section 7 of this form:

- (a) I/we, the registered holder(s) and undersigned Transferor(s) of the listed consideration, hereby transfer to the named Transferee in Section 8, the investment funds and/or shares as specified in Section 6.
- (b) The transfer of the investments specified in Section 6 or contained in the account/s specified in Section 7 are a change of beneficial ownership and the units or shares transferred will be held by the Operator on behalf of a new beneficial owner.
- (c) The transfer of the investments specified in Section 6 or contained in the account/s specified in Section 7 are part of a scheme under which beneficial ownership may or will pass.

### Declaration

By signing this form I hereby instruct Navigator Australia Limited ABN 45 006 302 987 AFSL 236466 as the Operator of my/our existing account(s):

- To transfer the balance of my/our existing account(s) to the target account specified in Section 8 of this form



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## 11. Privacy declaration

By completing this form, I/we consent to any personal and sensitive information that is collected being disclosed as outlined in the privacy policy which is found on [investinfo.com.au/premiumchoice](http://investinfo.com.au/premiumchoice)

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## 12. Transferor Signature

### Transferor one

Full name of Investor one

### Signature of Investor one

	Date (DD/MM/YYYY)							
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

### Transferor two (if applicable)

Full name of Investor two

### Signature of Investor two

	Date (DD/MM/YYYY)							
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

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## 13. Transferee Signature

### Transferee one

Full name of Investor one

### Signature of Investor one

	Date (DD/MM/YYYY)							
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

### Transferee two (if applicable)

Full name of Investor two

### Signature of Investor two

	Date (DD/MM/YYYY)							
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

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## 14. Adviser Declaration

I confirm that:

- if the transfer is to an existing account (target account) that the fee structure applied on the target account will be retained on completion of the transfer;
- I have read and understood, and make the declarations as detailed in the relevant IDPS Guide for the PremiumChoice Investment Service.

### Financial adviser signature

	Date (DD/MM/YYYY)							

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## 15. Adviser details

Was personal financial advice provided for this transaction?

Yes  No

If you don't answer this question we'll assume the answer is 'No'.

Adviser name

Adviser code

Office

  

Dealer group

Contact name

Contact phone number